

DOCCS FACT SHEET

November 1, 2023

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these incarcerated individuals to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 44 facilities that house 32,687 incarcerated individuals and 24,890 parolees under DOCCS' responsibility.

Prison Safety

Statewide Assaults											
2018 2019 2020 2021 2022 2023											
Assaults on Staff Statewide	990	1,043	1,052	1,177	1,473	1,348					
Assaults on Incarcerated Individuals Statewide	1,166	1,267	1,206	1,107	1,488	1,721					

Maximum vs. Medium Facility Incarcerated Individual-on-Staff Assaults* 2018-2023										
	2018	2019	2020	2021	2022	2023 YTD				
Assaults on Staff Statewide	990	1043	1,052	1,177	1,473	1,348				
Maximum-Security*	722	769	774	887	1,019	778				
Medium-Security	237	249	262	286	430	553				

^{*}Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Note: Unlike the Penal Law where physical injury is a required element, any attack by an incarcerated individual is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2022 3 rd Quarter Assaults										
	Jul	Aug	Sep		Total					
Assault on Incarcerated Individual	212	177	182		571					
Assault on Staff	137	170	155		462					
Total	349	347	337		1,033					

Assault Degree of Injury to Staff										
Incident Type: Staff				idua	I on Incarcerated					
	inai	vidual Ass	auit							
	Aug	Sept	Oct		Total					
No Injury	618	648	688		1,954					
Minor*	17	10	5		32					
Moderate**	0	3	1		4					
Serious***	0	0	0		0					
Severe****	0	0	0		0					
Total	635	661	694		1,990					
Incident Type: Staff	Injuries during	g an Incard	erated Indiv	idua	on Staff Assault					
	Aug	Sept	Oct		Total					
No Injury	691	631	598		1,920					
Minor*	276	248	257		781					
Moderate**	18	22	30		70					
Serious***	5	8	2		15					
Severe****	0	1	0		1					
Total	990	910	887		2,787					

Note: This table is based upon the number of staff injuries sustained in assaults on staff and assaults on incarcerated individuals each month and not the number of incidents.

Effective October 1, 2014, per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

Prison Closures

- Since 1999, New York's prison population has declined by 55 percent, from a high of 72,649 incarcerated individuals to 32,687 (11/1/23).
- Since 2011, the State has eliminated more than 13,000 prison beds and closed a total of 24 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$442 million.
- DOCCS security staff reductions have been consistent with incarcerated population declines.
 In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

^{*}Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

** Injuries such as lacerations, concussions, 2nd degree burns, serious sprains, dislocation, and muscle or ligament damage.

^{***}Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

^{****} Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

	DO	CCS Secu	rity Staff	and Incarcera	ted Popul	ation	
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Incarcerated Population	Numeric Change	Percent Change	Staffing Ratio (Incarcerated Population vs. Filled Uniform Staff Positions)
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3
2020	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
2021	17,415	-1,126	-6.1%	30,746	-3,700	-10.7	1.8
2022	16,178	-1,237	-7.1%	31,329	583	1.8%	1.9

Staffing and Population Differences										
Numeric Percent 12/31/99 11/1/23 Difference Change										
Correction Officers Sergeants Lieutenants	22,112	15,238	6,874	-31.1%						
Incarcerated Individuals	72,649	32,687	39,962	-55.0%						

	DOCCS Correctional Facility Security Personnel (Council 82/NYSCOPBA Staff)													
	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023
Council 82														
Lieutenants	521	458	483	482	472	475	471	480	478	470	436	413	407	430
NYSCOPBA														
Sergeants	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,028	1,052	1,059
Officers	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	15,974	14,846	13,749
Subtotal	20,186	19,114	18,937	18,817	18,744	18,807	18,780	18,774	18,712	18,602	18,165	17,002	15,898	14,808
Total	20,707	19,572	19,420	19,300	19,216	19,282	19,251	19,254	19,190	19,072	18,601	17,415	16,305	15,238

Number and Percent of Incarcerated Individuals Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities November 1 st of Selected Years (<i>Males Only</i>)											
		2013 YTD		2018 YTD			2023 YTD				
	Number of VFOs	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%	VFO	Incarcerated Individuals	%		
Maximum Facilities	18,643	22,869	81.5%	16,749	20,427	82.0%	12,621	14,480	87.2%		
Medium Facilities	15,324	26,960	56.8%	13,161	23,215	56.7%	10,395	16,024	64.9%		

SHU Cell Occupants Effective Beginning of Business										
Inmate Status	8/1/2023	9/1/2023	10/1/2023	11/1/2023						
SHU Disciplinary Sanction	218	161	133	111						
KEEPLOCK Disciplinary Sanction	0	0	0	0						
Administrative Segregation	0	0	0	0						
Involuntary Protective Custody	0	0	0	0						
Pending Disciplinary Hearing	187	140	133	142						
Pending Movement From Unit	1	1	0	1						
Pending Investigation	0	0	0	0						
Special Watch	0	0	0	0						
Voluntary Protective Custody	0	0	0	0						
TOTAL SHU Cell Occupants										
(Excluding Youthful Offenders)	406	302	266	254						

Statewide Incarcerated Individuals Convicted of a Violent Felony Offense										
End of Year 2019 2020 2021 2022 2023 YTD										
Violent Offenders	29,623	25,193	22,706	23,208	23,967					

2018 Releases by Return Rate												
Release Type And Release Cohort	Total Released	Total Returned Return Type Return Type New Commitment Parole Vio										
2018 Releases	20,921	6,613	31.6%	1,198	5.7%	5,415	25.9%					
Parole	9,804	3,889	39.7%	528	5.4%	3,361	34.3%					
Conditional Release	9,173	2,473	27.0%	419	4.6%	2,054	22.4%					
Maximum Expiration	1,944	251	12.9%	251	12.9%	N/A	N/A					

Note: DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an incarcerated individual's sanctioned release to the community.

Note: DOCCS followed a 2018 release cohort of formerly incarcerated individuals for three years to obtain the return rate data in the above table.

	Correction	al Facility by	Manner of Death:	YTD 2023		
Facility			Manner of Death			
	Accident	Homicide	Natural Causes	Suicide	Unknown	Total
Albion	0	0	0	0	1	1
Attica	0	0	0	0	3	3
Auburn	0	0	0	0	3	3
Bare Hill	0	0	1	0	0	1
Bedford Hills	0	0	1	0	0	1
Clinton	0	0	0	3	1	4
Collins	0	0	0	0	2	2
Coxsackie	0	0	7	0	0	7
Elmira	0	0	1	1	5	7
Fishkill	0	0	4	0	2	6
Five Points	0	0	0	0	2	2
Gouverneur	0	0	0	0	3	3
Great Meadow	0	0	1	0	1	2
Green Haven	0	0	2	2	4	8
Hudson	0	0	0	0	1	1
Marcy	0	0	1	0	0	1
Mohawk	0	0	13	0	2	15
Shawangunk	0	0	0	0	1	1
Sing Sing	0	0	0	0	3	3
Upstate	0	0	0	1	0	1
Wallkill	0	0	0	0	1	1
Wende	0	0	10	1	4	15
Total	0	0	41	8	39	88

Note: Unusual Incident Data is preliminary as of November 1, 2023 and is subject to change. Deaths are categorized during unusual incident reporting and are subject to change upon receipt of an official autopsy report.

Community Supervision Staffing and Cases by Region/Bureau*			
(start of month data - excludes staff and parolees in the revocation process)			
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers	
Bronx Region			
Bureau II	456	17	
Bureau III	453	10	
Bureau IV	599	14	
Bureau V	486	16	
Brooklyn Region			
Bureau I	453	12	
Bureau II	506	11	
Bureau III	504	10	

Bureau IV	595	13
Central New York		
Syracuse	792	28
Utica	639	21
Watertown Sub	446	13
Northern Front	226	7
Elmira	414	13
Binghamton Sub	295	11
Hudson Valley Region		
New Rochelle	640	18
Albany	819	23
Poughkeepsie	884	22
Albany Belt	839	25
Manhattan/Staten Island		
Manhattan Bureau II	469	7
Manhattan Bureau III	458	10
Manhattan Bureau IV	368	7
Manhattan Bureau VI	598	17
Staten Island Sub	298	9
Queens/Long Island		
Queens Bureau I	819	18
Queens Bureau II	675	20
Suffolk	776	22
Nassau	487	18
Western Region		
Buffalo Metro	600	18
Niagara Frontier	309	7
Rochester Metro	532	12
Rochester Belt	434	12
Rochester SOU	483	22
Southern Tier Sub	484	13

^{*}Average case load size cannot be determined using this data